

J1165 U.S. PTO  
03/05/02

3-6-52 A

PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.		MATP-609US
First Inventor	Mark S. Kempisty	
Title	A REMOTE CONTROL SYSTEM INCLUDING AN ON-SCREEN DISPLAY (OSD)	
Express Mail Label No.		EV 029 154 581 US

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i> 3. <input checked="" type="checkbox"/> Specification [Total Pages 20] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 4]		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
5. Oath or Declaration [Total Pages 2] <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))  <i>(for a continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>            Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> <li>c. <input type="checkbox"/> Unexecuted Declaration</li> </ul> </li> </ul> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul>
<b>ACCOMPANYING APPLICATIONS PARTS</b>		
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))		
10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement		<input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )		
12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449		<input checked="" type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment		
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>		
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>		
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.		
17. <input checked="" type="checkbox"/> Other: <u>Check Certificate of Express Mail</u>		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)  
*Prior application information*    *Examiner \_\_\_\_\_*    of prior application No: \_\_\_\_\_ /  
*Group / Art Unit:*

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		 (Insert Customer No. or attach bar code label here) <b>23122</b>		or <input type="checkbox"/> Correspondence address below	
PATENT TRADEMARK OFFICE					
Name					
Address					
City	State		Zip Code		
Country	Telephone		Fax		
Name (Print/Type)	Kenneth N. Nigon		Registration No. (Attorney/Agent)	31,549	
Signature			Date	March 5, 2002	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

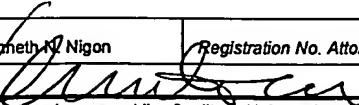
TOTAL AMOUNT OF PAYMENT (\$ 780)

Complete If Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Mark S. Kempisty
Examiner Name	
Group / Art Unit	

Attorney Docket No. MATP-609US

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																														
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <input type="text" value="18-0350"/></p> <p>Deposit Account Name <input type="text" value="Ratner &amp; Prestia"/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520 For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200 Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460 Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720 Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980 Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160 Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140 Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55 Petition to revive – unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640 Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230 Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310 Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>150</td><td>123</td><td>150 Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370 For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td><td></td></tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td></td> </tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td>SUBTOTAL (3) (\$ 40)</td> </tr> </tbody> </table>				Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65 Surcharge - late filing fee or oath		127	50	227	25 Surcharge - late provisional filing fee or cover sheet		139	130	139	130 Non-English specification		147	2,520	147	2,520 For filing a request for reexamination		112	920*	112	920* Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840* Requesting publication of SIR after Examiner action		115	110	215	55 Extension for reply within first month		116	400	216	200 Extension for reply within second month		117	920	217	460 Extension for reply within third month		118	1,440	218	720 Extension for reply within fourth month		128	1,960	228	980 Extension for reply within fifth month		119	320	219	160 Notice of Appeal		120	320	220	160 Filing a brief in support of an appeal		121	280	221	140 Request for oral hearing		138	1,510	138	1,510 Petition to institute a public use proceeding		140	110	240	55 Petition to revive – unavoidable		141	1,280	241	640 Petition to revive – unintentional		142	1,280	242	640 Utility issue fee (or reissue)		143	460	243	230 Design issue fee		144	620	244	310 Plant issue fee		122	130	122	130 Petitions to the Commissioner		123	150	123	150 Petitions related to provisional applications		126	180	126	180 Submission of Information Disclosure Stmt		581	40	581	40 Recording each patent assignment per property (times number of properties)	40	146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370 Request for Continued Examination (RCE)		169	900	169	900 Request for expedited examination of a design application		Other fee (specify) _____					*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 40)
Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																														
105	130	205	65 Surcharge - late filing fee or oath																																																																																																																																																															
127	50	227	25 Surcharge - late provisional filing fee or cover sheet																																																																																																																																																															
139	130	139	130 Non-English specification																																																																																																																																																															
147	2,520	147	2,520 For filing a request for reexamination																																																																																																																																																															
112	920*	112	920* Requesting publication of SIR prior to Examiner action																																																																																																																																																															
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action																																																																																																																																																															
115	110	215	55 Extension for reply within first month																																																																																																																																																															
116	400	216	200 Extension for reply within second month																																																																																																																																																															
117	920	217	460 Extension for reply within third month																																																																																																																																																															
118	1,440	218	720 Extension for reply within fourth month																																																																																																																																																															
128	1,960	228	980 Extension for reply within fifth month																																																																																																																																																															
119	320	219	160 Notice of Appeal																																																																																																																																																															
120	320	220	160 Filing a brief in support of an appeal																																																																																																																																																															
121	280	221	140 Request for oral hearing																																																																																																																																																															
138	1,510	138	1,510 Petition to institute a public use proceeding																																																																																																																																																															
140	110	240	55 Petition to revive – unavoidable																																																																																																																																																															
141	1,280	241	640 Petition to revive – unintentional																																																																																																																																																															
142	1,280	242	640 Utility issue fee (or reissue)																																																																																																																																																															
143	460	243	230 Design issue fee																																																																																																																																																															
144	620	244	310 Plant issue fee																																																																																																																																																															
122	130	122	130 Petitions to the Commissioner																																																																																																																																																															
123	150	123	150 Petitions related to provisional applications																																																																																																																																																															
126	180	126	180 Submission of Information Disclosure Stmt																																																																																																																																																															
581	40	581	40 Recording each patent assignment per property (times number of properties)	40																																																																																																																																																														
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																															
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																															
179	740	279	370 Request for Continued Examination (RCE)																																																																																																																																																															
169	900	169	900 Request for expedited examination of a design application																																																																																																																																																															
Other fee (specify) _____																																																																																																																																																																		
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 40)																																																																																																																																																														
<p>2. Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check    <input type="checkbox"/> Credit card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>																																																																																																																																																																		
<p><b>FEE CALCULATION</b></p> <table border="1"> <thead> <tr> <th colspan="2">1. BASIC FILING FEE</th> </tr> <tr> <th>Large Entity</th> <th>Small Entity</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td>740</td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td><td></td></tr> <tr> <td colspan="4">SUBTOTAL (1)</td> <td>(\$ 740)</td> </tr> <tr> <th colspan="8">2. EXTRA CLAIM FEES</th> </tr> <tr> <td>Total Claims</td> <td>20</td> <td>-20**</td> <td>= 0</td> <td>Extra Claims</td> <td>Fee from below</td> <td>Fee Paid</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>3</td> <td>-3**</td> <td>= 0</td> <td>X</td> <td>= 0</td> <td></td> <td></td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td>X</td> <td>= 0</td> <td></td> <td></td> </tr> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th colspan="4"></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th colspan="4">Fee Description</th> </tr> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td colspan="4">Claims in excess of 20</td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42</td><td colspan="4">Independent claims in excess of 3</td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140</td><td colspan="4">Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>84</td><td>209</td><td>42</td><td colspan="4">** Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td colspan="4">** Reissue claims in excess of 20 and over original patent</td></tr> <tr> <td colspan="4">SUBTOTAL (2)</td> <td>(\$ 0)</td> <td colspan="3"></td> </tr> </tbody> </table>								1. BASIC FILING FEE		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee	740	106	330	206	165	Design filing fee		107	510	207	255	Plant filing fee		108	740	208	370	Reissue filing fee		114	160	214	80	Provisional filing fee		SUBTOTAL (1)				(\$ 740)	2. EXTRA CLAIM FEES								Total Claims	20	-20**	= 0	Extra Claims	Fee from below	Fee Paid		Independent Claims	3	-3**	= 0	X	= 0			Multiple Dependent				X	= 0			Large Entity		Small Entity						Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description				103	18	203	9	Claims in excess of 20				102	84	202	42	Independent claims in excess of 3				104	280	204	140	Multiple dependent claim, if not paid				109	84	209	42	** Reissue independent claims over original patent				110	18	210	9	** Reissue claims in excess of 20 and over original patent				SUBTOTAL (2)				(\$ 0)																	
1. BASIC FILING FEE																																																																																																																																																																		
Large Entity	Small Entity																																																																																																																																																																	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																													
101	740	201	370	Utility filing fee	740																																																																																																																																																													
106	330	206	165	Design filing fee																																																																																																																																																														
107	510	207	255	Plant filing fee																																																																																																																																																														
108	740	208	370	Reissue filing fee																																																																																																																																																														
114	160	214	80	Provisional filing fee																																																																																																																																																														
SUBTOTAL (1)				(\$ 740)																																																																																																																																																														
2. EXTRA CLAIM FEES																																																																																																																																																																		
Total Claims	20	-20**	= 0	Extra Claims	Fee from below	Fee Paid																																																																																																																																																												
Independent Claims	3	-3**	= 0	X	= 0																																																																																																																																																													
Multiple Dependent				X	= 0																																																																																																																																																													
Large Entity		Small Entity																																																																																																																																																																
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description																																																																																																																																																														
103	18	203	9	Claims in excess of 20																																																																																																																																																														
102	84	202	42	Independent claims in excess of 3																																																																																																																																																														
104	280	204	140	Multiple dependent claim, if not paid																																																																																																																																																														
109	84	209	42	** Reissue independent claims over original patent																																																																																																																																																														
110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																														
SUBTOTAL (2)				(\$ 0)																																																																																																																																																														
**or number previously paid, if greater; For Reissues, see above																																																																																																																																																																		

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Kenneth M Nigon	Registration No. Attorney/Agent)	31,549	Telephone	610-407-0700
Signature					Date March 5, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## Certificate of Mailing under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

on March 5, 2002

Date



Signature

Andrea Caucci

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Trans. (1 pg.); Fee Trans. (2); Spec. (20 pgs.); Drawings (4 sheets); DECL/POA (2 pgs.); 1595; Assignment (2 pgs.); IDS (2pgs.); 1449; Copies of 8 cited references; Check; Postcard

EV029154581US